

Form **990-PF**Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 Do not enter social security numbers on this form as it may be made public.
 Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

2022**Open to Public Inspection****For calendar year 2022 or tax year beginning****and ending**

Name of foundation

FOUNDATION FOR A SMOKE-FREE WORLD, INC.

Number and street (or P.O. box number if mail is not delivered to street address)

420 LEXINGTON AVE.

Room/suite

300

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10170

G Check all that apply:☐ Initial return☐ Final return☐ Address change☐ Initial return of a former public charity☐ Amended return☐ Name change**H** Check type of organization:☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation**I** Fair market value of all assets at

end of year (from Part II, col. (c), line

16) \$ 40,132,692.

J Accounting method: ☐ Cash ☒ Accrual☐ Other (specify) _____

(Part I, column (d), must be on cash basis.)

A Employer identification number

82-2800838

B Telephone number (see instructions)

(929) 446-0688

C If exemption application is pending, check here. ☐**D** 1. Foreign organizations, check here. ☐2. Foreign organizations meeting the 85% test, check here and attach computation. ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here. ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|--|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | 17,500,000. | | | |
| | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B. | | | | |
| | 3 Interest on savings and temporary cash investments | 277,071. | 277,071. | | |
| | 4 Dividends and interest from securities | | | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | | | | |
| | b Gross sales price for all assets on line 6a | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | | | |
| | 8 Net short-term capital gain. | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| Operating and Administrative Expenses | b Less: Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | 601,147. | | | STMT 1 |
| | 12 Total. Add lines 1 through 11 | 18,378,218. | 277,071. | | |
| | 13 Compensation of officers, directors, trustees, etc. | 1,362,008. | | | 1,364,862. |
| | 14 Other employee salaries and wages | 3,738,531. | | | 3,745,549. |
| | 15 Pension plans, employee benefits | 524,815. | | | 526,784. |
| | 16a Legal fees (attach schedule) | 98,841. | NONE | NONE | 116,960. |
| | b Accounting fees (attach schedule) | 312,717. | NONE | NONE | 309,484. |
| | c Other professional fees (attach schedule) | 1,851,927. | | | 2,116,982. |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions). | 21,995. | | | 21,995. |
| | 19 Depreciation (attach schedule) and depletion | 760,312. | | | |
| | 20 Occupancy | 172,954. | | | 173,470. |
| | 21 Travel, conferences, and meetings | 351,031. | | | 338,637. |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) | 1,398,100. | | | 1,408,789. |
| | 24 Total operating and administrative expenses. Add lines 13 through 23. | 10,593,231. | NONE | NONE | 10,123,512. |
| | 25 Contributions, gifts, grants paid | 27,669,201. | | | 27,669,201. |
| | 26 Total expenses and disbursements. Add lines 24 and 25 | 38,262,432. | NONE | NONE | 37,792,713. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | -19,884,214. | | | |
| | b Net investment income (if negative, enter -0-) | | 277,071. | | |
| | c Adjusted net income (if negative, enter -0-) | | | -0- | |

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

| | | Beginning of year | End of year | |
|---|--|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 59,095,936. | 22,074,923. | 22,074,923. |
| | 3 Accounts receivable 52,901. | | | |
| | Less: allowance for doubtful accounts | 488. | 52,901. | 52,901. |
| | 4 Pledges receivable 17,500,000. | | | |
| | Less: allowance for doubtful accounts | | 17,500,000. | 17,500,000. |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) | | | |
| | Less: allowance for doubtful accounts | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges STMT 7 | 839,960. | 172,342. | 172,342. |
| | 10a Investments - U.S. and state government obligations (attach schedule) . . | | | |
| | b Investments - corporate stock (attach schedule) | | | |
| | c Investments - corporate bonds (attach schedule) | | | |
| | 11 Investments - land, buildings, and equipment: basis | | | |
| Less: accumulated depreciation (attach schedule) | | | | |
| 12 Investments - mortgage loans | | | | |
| 13 Investments - other (attach schedule) | | | | |
| 14 Land, buildings, and equipment: basis 2,867,318. | | | | |
| Less: accumulated depreciation (attach schedule) | 1,021,994. | 263,928. | 263,928. | |
| 15 Other assets (describe STMT 8) | 24,993. | 68,598. | 68,598. | |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 60,983,371. | 40,132,692. | 40,132,692. | |
| Liabilities | 17 Accounts payable and accrued expenses | 1,391,530. | 429,207. | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons . . | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe) | | | |
| | 23 Total liabilities (add lines 17 through 22) | 1,391,530. | 429,207. | |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. <input type="checkbox"/> | | | |
| | 24 Net assets without donor restrictions | | | |
| | 25 Net assets with donor restrictions | | | |
| | Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30. <input checked="" type="checkbox"/> | | | |
| | 26 Capital stock, trust principal, or current funds | | | |
| | 27 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 28 Retained earnings, accumulated income, endowment, or other funds . . | 59,591,841. | 39,703,485. | |
| | 29 Total net assets or fund balances (see instructions) | 59,591,841. | 39,703,485. | |
| | 30 Total liabilities and net assets/fund balances (see instructions) | 60,983,371. | 40,132,692. | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|--|---|--------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 59,591,841. |
| 2 Enter amount from Part I, line 27a | 2 | -19,884,214. |
| 3 Other increases not included in line 2 (itemize) | 3 | |
| 4 Add lines 1, 2, and 3 | 4 | 39,707,627. |
| 5 Decreases not included in line 2 (itemize) SEE STATEMENT 9 | 5 | 4,142. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 | 6 | 39,703,485. |

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Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|---|---|--------------------------------------|----------------------------------|
| 1 a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. | | | | | |
| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 2 Capital gain net income or (net capital loss) | <div style="display: flex; align-items: center;"> <div style="font-size: 3em; margin-right: 10px;">{</div> <div> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> </div> | | 2 | | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 | <div style="display: flex; align-items: center;"> <div style="font-size: 3em; margin-right: 10px;">}</div> <div> If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 </div> </div> | | 3 | | |

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

| | | |
|---|-----------|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions) | 1 | 3,851. |
| b All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b) | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 2 | |
| 3 Add lines 1 and 2 | 3 | 3,851. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 4 | NONE |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 3,851. |
| 6 Credits/Payments: | | |
| a 2022 estimated tax payments and 2021 overpayment credited to 2022 | 6a | 5,000. |
| b Exempt foreign organizations - tax withheld at source | 6b | NONE |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | NONE |
| d Backup withholding erroneously withheld | 6d | |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | 5,000. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 1,149. |
| 11 Enter the amount of line 10 to be: Credited to 2023 estimated tax 1,149. Refunded | 11 | |

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Part VI-A Statements Regarding Activities

| | Yes | No |
|--|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ _____ (2) On foundation managers. \$ _____ | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ _____ | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | X | |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> . STMT 10 | X | |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered. See instructions. CA, DE, NY, | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2022 or the tax year beginning in 2022? See the instructions for Part XIII. If "Yes," complete Part XIII | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions STMT. 11 | X | |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. | | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.SMOKEFREEWORLD.ORG | X | |
| 14 The books are in care of DAVID JANAZZO Telephone no. 929-446-0688 Located at 420 LEXINGTON AVE. SUITE 300 NEW YORK, NY ZIP+4 10170 | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15 | | |
| 16 At any time during calendar year 2022, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country MALAWI | X | |

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Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | Yes | No |
|--|--------------|----|
| 1a During the year, did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | 1a(1) | X |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | 1a(2) | X |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | 1a(3) | X |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | 1a(4) | X |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | 1a(5) | X |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | 1a(6) | X |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions | 1b | X |
| c Organizations relying on a current notice regarding disaster assistance, check here. <input type="checkbox"/> | | |
| d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2022? | 1d | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a At the end of tax year 2022, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2022? | 2a | X |
| If "Yes," list the years _____, _____, _____, _____ | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) | 2b | |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. _____, _____, _____, _____ | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | 3a | X |
| b If "Yes," did it have excess business holdings in 2022 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2022.) | 3b | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2022? | 4b | X |

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Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | Yes | No |
|---|-----|----|
| 5a During the year, did the foundation pay or incur any amount to: | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | | X |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | | X |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | | X |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. | X | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | | X |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | | X |
| c Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/> | | |
| d If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE, STATEMENT 12 | X | |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d). | | |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | X |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870. | | X |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | | X |
| b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | | |
| 8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? | | X |

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 13 | | 1,362,008. | 70,891. | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| SEE STATEMENT 18 | | 1,083,121. | 81,308. | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

| | |
|---|----|
| Total number of other employees paid over \$50,000 | 18 |
|---|----|

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Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors
(continued)

3

Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|---------------------|------------------|
| SEE STATEMENT 21 | | 2,329,162. |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services | | 10 |

Part VIII-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|---------------------|------------|
| 1 SEE ATTACHMENT 31 | |
| | 1,214,201. |
| 2 SEE ATTACHMENT 31 | |
| | 216,664. |
| 3 SEE ATTACHMENT 31 | |
| | 86,205. |
| 4 SEE ATTACHMENT 31 | |
| | 82,272. |

Part VIII-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

| | Amount |
|--|--------|
| 1 NONE | |
| 2 | |
| All other program-related investments. See instructions. | |
| 3 NONE | |
| Total. Add lines 1 through 3 | |

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|---|-----------|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | NONE |
| b | Average of monthly cash balances | 1b | 42,615,107. |
| c | Fair market value of all other assets (see instructions). | 1c | NONE |
| d | Total (add lines 1a, b, and c) | 1d | 42,615,107. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 42,615,107. |
| 4 | Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions). | 4 | 639,227. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 | 5 | 41,975,880. |
| 6 | Minimum investment return. Enter 5% (0.05) of line 5. | 6 | 2,098,794. |

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

| | | | |
|-----------|--|-----------|------------|
| 1 | Minimum investment return from Part IX, line 6. | 1 | 2,098,794. |
| 2a | Tax on investment income for 2022 from Part V, line 5. 2a | | 3,851. |
| b | Income tax for 2022. (This does not include the tax from Part V.) 2b | | |
| c | Add lines 2a and 2b. | 2c | 3,851. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 2,094,943. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 582,270. |
| 5 | Add lines 3 and 4 | 5 | 2,677,213. |
| 6 | Deduction from distributable amount (see instructions). | 6 | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1 | 7 | 2,677,213. |

Part XI Qualifying Distributions (see instructions)

| | | | |
|----------|---|-----------|-------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 37,792,713. |
| b | Program-related investments - total from Part VIII-B | 1b | NONE |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4 | 4 | 37,792,713. |

Form **990-PF** (2022)

Part XII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2021 | (c) 2021 | (d) 2022 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2022 from Part X, line 7 | | | | 2,677,213. |
| 2 Undistributed income, if any, as of the end of 2022: | | | | |
| a Enter amount for 2021 only. | | | NONE | |
| b Total for prior years: 20 20 ,20 19 ,20 18 | | NONE | | |
| 3 Excess distributions carryover, if any, to 2022: | | | | |
| a From 2017 | 3,185,969. | | | |
| b From 2018 | 27,464,139. | | | |
| c From 2019 | 67,812,508. | | | |
| d From 2020 | 44,373,042. | | | |
| e From 2021 | 35,201,964. | | | |
| f Total of lines 3a through e | 178,037,622. | | | |
| 4 Qualifying distributions for 2022 from Part XI, line 4: \$ 37,792,713. | | | | |
| a Applied to 2021, but not more than line 2a | | | NONE | |
| b Applied to undistributed income of prior years (Election required - see instructions). | | | | |
| c Treated as distributions out of corpus (Election required - see instructions) | | | | |
| d Applied to 2022 distributable amount. | | | | 2,677,213. |
| e Remaining amount distributed out of corpus. | 35,115,500. | | | |
| 5 Excess distributions carryover applied to 2022 (If an amount appears in column (d), the same amount must be shown in column (a).) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 213,153,122. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | NONE | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | NONE | | |
| e Undistributed income for 2021. Subtract line 4a from line 2a. Taxable amount - see instructions | | | NONE | |
| f Undistributed income for 2022. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2023. | | | | NONE |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | | | | |
| 8 Excess distributions carryover from 2017 not applied on line 5 or line 7 (see instructions) | 3,185,969. | | | |
| 9 Excess distributions carryover to 2023. Subtract lines 7 and 8 from line 6a | 209,967,153. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2018 | 27,464,139. | | | |
| b Excess from 2019 | 67,812,508. | | | |
| c Excess from 2020 | 44,373,042. | | | |
| d Excess from 2021 | 35,201,964. | | | |
| e Excess from 2022 | 35,115,500. | | | |

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2022, enter the date of the ruling**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|--|----------|---------------|----------|----------|-----------|
| | (a) 2022 | (b) 2021 | (c) 2020 | (d) 2019 | |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed | | | | | |
| b 85% (0.85) of line 2a . . . | | | | | |
| c Qualifying distributions from Part XI, line 4, for each year listed . | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: . . | | | | | |
| a "Assets" alternative test - enter: | | | | | |
| (1) Value of all assets . . . | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part IX, line 6, for each year listed . . | | | | | |
| c "Support" alternative test - enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income . | | | | | |

Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 23

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 24

c Any submission deadlines:

SEE STATEMENT 25

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 26

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

| Enter gross amounts unless otherwise indicated. | | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|--|--|---------------------------|---------------|--------------------------------------|---------------|--|
| | | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | | |
| a _____ | | | | | | |
| b _____ | | | | | | |
| c _____ | | | | | | |
| d _____ | | | | | | |
| e _____ | | | | | | |
| f _____ | | | | | | |
| g Fees and contracts from government agencies | | | | | | |
| 2 Membership dues and assessments | | | | | | |
| 3 Interest on savings and temporary cash investments . | | | | 14 | 277,071. | |
| 4 Dividends and interest from securities | | | | | | |
| 5 Net rental income or (loss) from real estate: | | | | | | |
| a Debt-financed property | | | | | | |
| b Not debt-financed property | | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | | |
| 7 Other investment income | | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | | | |
| 9 Net income or (loss) from special events . . . | | | | | | |
| 10 Gross profit or (loss) from sales of inventory . . | | | | | | |
| 11 Other revenue: a _____ | | | | | | |
| b SEE STATEMENT 29 | | | | | | |
| c _____ | | | | | | |
| d _____ | | | | | | |
| e _____ | | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | | 878,218. | |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | | | 878,218. |

(See worksheet in line 13 instructions to verify calculations.)

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Schedule B
(Form 990)**

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Attach to Form 990 or Form 990-PF.
Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2022

Name of the organization

Employer identification number

FOUNDATION FOR A SMOKE-FREE WORLD, INC.

82-2800838

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).

Name of organization

FOUNDATION FOR A SMOKE-FREE WORLD, INC.

Employer identification number

82-2800838

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|--|----------------------------|--|
| 1 | PMI GLOBAL SERVICES, INC 677 WASHINGTON BOULEVARD STAMFORD, CT 06901 | \$ 17,500,000. | Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |

Name of organization

FOUNDATION FOR A SMOKE-FREE WORLD, INC.

Employer identification number

82-2800838

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---------------------------|--|---|----------------------|
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |

Name of organization

FOUNDATION FOR A SMOKE-FREE WORLD, INC.

Employer identification number

82-2800838

Part III **Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) \$ _____

Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|---|-----------------|--|
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |

FORM 990PF, PART I - OTHER INCOME
=====

| DESCRIPTION ----- | REVENUE AND EXPENSES PER BOOKS ----- |
|------------------------------------|--|
| RETURN OF PRIOR YEAR DISTRIBUTIONS | 582,270. |
| REFUND OF PRIOR YEAR TAXES | 18,877. |
| | ----- |
| TOTALS | 601,147. |
| | ===== |

FORM 990PF, PART I - LEGAL FEES
=====

| DESCRIPTION ----- | REVENUE AND EXPENSES PER BOOKS ----- | NET INVESTMENT INCOME ----- | ADJUSTED NET INCOME ----- | CHARITABLE PURPOSES ----- |
|----------------------|--|--------------------------------------|------------------------------------|---------------------------------|
| LEGAL FEES | 98,841. | | | 116,960. |
| | ----- | ----- | ----- | ----- |
| TOTALS | 98,841. | NONE | NONE | 116,960. |
| | ===== | ===== | ===== | ===== |

FORM 990PF, PART I - ACCOUNTING FEES
=====

| DESCRIPTION ----- | REVENUE AND EXPENSES PER BOOKS ----- | NET INVESTMENT INCOME ----- | ADJUSTED NET INCOME ----- | CHARITABLE PURPOSES ----- |
|----------------------|--|--------------------------------------|------------------------------------|---------------------------------|
| ACCOUNTING FEES | 312,717. | | | 309,484. |
| | ----- | ----- | ----- | ----- |
| TOTALS | 312,717. | NONE | NONE | 309,484. |
| | ===== | ===== | ===== | ===== |

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

| DESCRIPTION ----- | REVENUE AND EXPENSES PER BOOKS ----- | NET INVESTMENT INCOME ----- | ADJUSTED NET INCOME ----- | CHARITABLE PURPOSES ----- |
|-----------------------|--|--------------------------------------|------------------------------------|---------------------------------|
| PROFESSIONAL SERVICES | 648,279. | | | 693,410. |
| CONSULTING FEES | 613,021. | | | 830,554. |
| COMMUNICATIONS | 590,627. | | | 593,018. |
| | ----- | | | ----- |
| TOTALS | 1,851,927. | | | 2,116,982. |
| | ===== | | | ===== |

FORM 990PF, PART I - TAXES
=====

| DESCRIPTION ----- | REVENUE AND EXPENSES PER BOOKS ----- | CHARITABLE PURPOSES ----- |
|----------------------|--|---------------------------------|
| FOREIGN TAXES PAID | 21,995. | 21,995. |
| | ----- | ----- |
| TOTALS | 21,995. | 21,995. |
| | ===== | ===== |

FORM 990PF, PART I - OTHER EXPENSES
=====

| DESCRIPTION ----- | REVENUE AND EXPENSES PER BOOKS ----- | CHARITABLE PURPOSES ----- |
|-------------------------|--|---------------------------------|
| DATA LICENSE FEES | 521,624. | 521,624. |
| INSURANCE | 286,447. | 286,447. |
| NEWS & SUBSCRIPTIONS | 280,095. | 291,596. |
| RECRUITING FEES | 153,074. | 153,074. |
| COMPUTER & EQUIPMENT | 121,953. | 121,948. |
| GENERAL OFFICE EXPENSES | 20,447. | 20,126. |
| OFFICE SUPPLIES | 7,174. | 6,688. |
| BANK FEES | 5,761. | 5,761. |
| NY STATE FILING FEE | 1,525. | 1,525. |
| | ----- | ----- |
| TOTALS | 1,398,100. | 1,408,789. |
| | ===== | ===== |

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

=====

| DESCRIPTION ----- | ENDING BOOK VALUE ----- | ENDING FMV --- |
|----------------------|-------------------------------|----------------------|
| PREPAID EXPENSES | 172,342. ----- | 172,342. ----- |
| TOTALS | 172,342. ===== | 172,342. ===== |

FORM 990PF, PART II - OTHER ASSETS

=====

| DESCRIPTION ----- | ENDING BOOK VALUE ----- | ENDING FMV --- |
|--------------------------|-------------------------------|----------------------|
| SECURITY DEPOSITS | 55,668. | 55,668. |
| RIGHT OF USE LEASE ASSET | 12,930. | 12,930. |
| | ----- | ----- |
| TOTALS | 68,598. | 68,598. |
| | ===== | ===== |

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

| DESCRIPTION ----- | AMOUNT ----- |
|---|-----------------|
| ACCT PRINCIPLE CHANGE TO NET ASSETS ADJ | 4,142. |
| | ----- |
| TOTAL | 4,142. |
| | ===== |

FORM 990PF, PART VI-A - LIQUIDATION, TERMINATION, ETC. STATEMENT
=====

WHILE THE FOUNDATION'S ROUTINE GRANTMAKING ACTIVITY RESULTED IN A DISPOSITION OF MORE THAN 25% OF ITS ASSETS, RESULTING IN A SUBSTANTIAL CONTRACTION, THIS SPENDING IS NOT PART OF A PLAN OF TERMINATION OR DISSOLUTION. IT IS THE FOUNDATION'S PRESENT INTENT TO CONTINUE ITS CURRENT LEVEL OF GRANTMAKING AND OPERATIONS FOR THE FORESEEABLE FUTURE.

FORM 990PF, PART VI-A - TRANSFERS TO CONT. ENT. STATEMENT
=====

| | |
|---|--|
| CONTROLLED ENTITY'S NAME: | AGRICULTURAL TRANSFORMATION INITIATIVE |
| CONTROLLED ENTITY'S ADDRESS: | P.O. BOX 31009, AREA 11 PLOT 49 B |
| SECOND LINE ADDRESS: | LILONGWE MALAWI |
| EIN: | 99-9999999 |
| TRANSFER AMOUNT: | 738,545. |
| EXPLANATION OF TRANSFER TO CONTROLLED ENTITY: | |
| CASH CONTRIBUTION. THIS ENTITY IS NOT AN EXCESS BUSINESS HOLDING. | |

| | GRANTEE'S NAME | GRANTEE'S ADDRESS | GRANT DATE | GRANT AMOUNT | PURPOSE | AMOUNT EXPENDED BY GRANTEE | ANY DIVERSION | DATES OF REPORTS | DATE OF VERIFICATION | RESULTS OF VERIFICATION |
|---|--|--|------------|--------------|--|----------------------------|---------------|--|--|---|
| 1 | Alternative Research Initiative | Office # 31, 1st Floor Islamabad Pakistan | 01/31/2022 | 307,250 | Support capacity of PANTHR (Pakistan Alliance for Nicotine and Tobacco Harm Reduction) to increase access to tools that support cessation and tobacco harm reduction in Pakistan, including engaging with health professionals to reduce impediments to access | 256,589 | NO | 05/28/2022 09/21/2022 11/16/2022 02/17/2023 | 06/07/2022 09/23/2022 11/18/2022 02/23/2023 | The total spending of \$256,589 as per report which is 84% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 2 | Analytisch-Biologisches Forschungslabor GmbH | Semmelweisstrasse 5 Planegg 82152 Germany | 02/12/2019 | 2,921,560 | Identify biomarkers and/or patterns of biomarkers that properly distinguish among nicotine product use groups for the development of a prototype diagnostic tool. Tool would be used in interventions to help smokers quit or switch to a reduced-risk product | 2,921,560 | NO | 01/13/2022 | 01/15/2022 | The total spending of \$2,921,560 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 3 | Analytisch-Biologisches Forschungslabor GmbH | Semmelweisstrasse 5 Planegg 82152 Germany | 07/28/2022 | 176,212 | Conduct a literature review of nicotine's role in human health | 176,212 | NO | 12/01/2022 03/29/2023 | 12/02/2022 04/03/2023 | The total spending of \$176,212 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

| | GRANTEE'S NAME | GRANTEE'S ADDRESS | GRANT DATE | GRANT AMOUNT | PURPOSE | AMOUNT EXPENDED BY GRANTEE | ANY DIVERSION | DATES OF REPORTS | DATE OF VERIFICATION | RESULTS OF VERIFICATION |
|---|--|--|------------|--------------|---|----------------------------|---------------|--|--|--|
| 4 | Analytisch-Biologisches Forschungslabor GmbH | Semmelweisstrasse 5 Planegg 82152 Germany | 07/28/2022 | 233,154 | Research the efficacy of tobacco harm reduction products as tools for smokers to quit combustible tobacco products | 228,792 | NO | 12/02/2022 03/30/2023 | 12/05/2022 03/31/2023 | The total spending of \$228,792 as per report which is 98% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 5 | ASCRA Consulting Inc. | Purok 6, Ligao City, Albay Philippines | 07/22/2021 | 94,169 | Conduct and disseminate research regarding cessation and tobacco harm reduction policies in the Philippines | 94,169 | NO | 01/20/2022 04/21/2022 09/10/2022 10/02/2022 | 02/04/2022 04/22/2022 09/13/2022 10/20/2022 | The total spending of \$94,169 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 6 | ASCRA Consulting Inc. | Purok 6, Ligao City, Albay Philippines | 08/24/2022 | 194,166 | Increase awareness about tobacco harm reduction and address misinformation about nicotine in the Philippines and Asia Pacific | 95,869 | NO | 12/06/2022 04/07/2023 | 12/06/2022 04/11/2023 | The total spending of \$95,869 as per report which is 49% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

| | GRANTEE'S NAME | GRANTEE'S ADDRESS | GRANT DATE | GRANT AMOUNT | PURPOSE | AMOUNT EXPENDED BY GRANTEE | ANY DIVERSION | DATES OF REPORTS | DATE OF VERIFICATION | RESULTS OF VERIFICATION |
|---|--|--|------------|--------------|--|----------------------------|---------------|------------------|----------------------|---|
| 7 | Asociación Argentina de Servicios y Estudios Médicos de Avanzada | Beiro Francisco Av. 3360 Piso Buenos Aires Argentina | 06/03/2020 | 140,549 | Garner consensus and support for COP9 to consider harm reduction as integral to tobacco control | 140,549 | NO | 01/31/2020 | 02/09/2021 | The total spending of \$140,549 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 8 | Bangladesh Blind Mission | House 1, Road 6, Mirpur, Dhaka, Dhaka 1217 Bangladesh | 10/10/2022 | 77,880 | Increase awareness about tobacco harm reduction and address misinformation about nicotine in Bangladesh and the surrounding region | 71,124 | NO | 03/23/2023 | 03/23/2023 | The total spending of \$71,124 as per report which is 91% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

| | GRANTEE'S NAME | GRANTEE'S ADDRESS | GRANT DATE | GRANT AMOUNT | PURPOSE | AMOUNT EXPENDED BY GRANTEE | ANY DIVERSION | DATES OF REPORTS | DATE OF VERIFICATION | RESULTS OF VERIFICATION |
|----|---------------------|---|------------|--------------|---|----------------------------|---------------|--|--|---|
| 9 | Blue T-Matrix, LLC | 29 Fifer Ln, Lexington, MA 02420 USA | 07/28/2022 | 239,400 | Engage in a three- part project focusing on China including: (i) a critical review of the importance of offering help to smokers to quit or switch; (ii) a study estimating the health and economic benefits of reduced harm products, and the factors affecting initiation, cessation, and switching rates; and (iii) the creation of a reduced- harm products tracking system | 237,731 | NO | 05/12/2022 03/30/2023 | 06/12/2022 03/30/2023 | The total spending of \$237,731 as per report which is 99% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 10 | BOTEC Analysis, LLC | 25350 Magic Mountain Parkway, Suite 300 Santa Clarita, CA 91355 United States | 05/07/2021 | 1,123,017 | Develop journal articles, econometric studies, and a full-length book regarding the drivers of smoking cessation in five countries with legalized alternative nicotine delivery systems | 722,745 | NO | 01/27/2022 04/27/2022 07/29/2022 10/31/2022 01/31/2023 | 01/28/2022 05/21/2022 08/08/2022 11/18/2022 02/13/2023 | The total spending of \$722,745 as per report which is 64% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 11 | BOTEC Analysis, LLC | 25350 Magic Mountain Parkway, Suite 300 Santa Clarita, CA 91355 United States | 07/30/2019 | 1,035,224 | Investigate the drivers for smoking cessation and tobacco harm reduction in five countries with alternative nicotine delivery systems markets | 1,035,224 | NO | 02/16/2022 | 02/16/2022 | The total spending of \$1,035,224 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

| | GRANTEE'S NAME | GRANTEE'S ADDRESS | GRANT DATE | GRANT AMOUNT | PURPOSE | AMOUNT EXPENDED BY GRANTEE | ANY DIVERSION | DATES OF REPORTS | DATE OF VERIFICATION | RESULTS OF VERIFICATION |
|----|--|---|------------|--------------|---|----------------------------|---------------|--|--|---|
| 12 | Cambridge Design Partnership Limited | Bourn Quarter, Wellington Way, Caldecote, Cambridge, CB23 7FW, United Kingdom | 02/04/2021 | 247,493 | Disseminate findings of a prior FSFW grant project related to the key impediments to mass adoption of reduced-risk products in low- and middle-income countries | 247,493 | NO | 02/16/2022 05/20/2022 08/25/2022 | 03/14/2022 05/21/2022 09/13/2022 | The total spending of \$247,493 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 13 | Centre for Health Research and Education Limited | 2, Venture Road, University of Southampton Science Park, Chilworth, Hampshire SO16 7NP United Kingdom | 12/10/2020 | 4,426,752 | Support capacity to reduce tobacco-related death and disease in India | 4,426,752 | NO | 01/31/2022 04/13/2022 07/19/2022 10/19/2022 01/31/2023 | 02/07/2022 04/18/2022 07/28/2022 10/20/2022 02/13/2023 | The total spending of \$4,426,752 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 14 | Centre for Health Research and Education Limited | 2, Venture Road, University of Southampton Science Park, Chilworth, Hampshire SO16 7NP United Kingdom | 02/16/2022 | 350,471 | Expand on work completed under a current FSFW grant to achieve and sustain 'smoke-free mental health' at UK's Priory Group mental health hospitals | 157,951 | NO | 05/31/2022 08/30/2022 11/29/2022 02/28/2023 | 06/07/2022 09/13/2022 12/01/2022 03/06/2023 | The total spending of \$157,951 as per report which is 45% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

| | GRANTEE'S NAME | GRANTEE'S ADDRESS | GRANT DATE | GRANT AMOUNT | PURPOSE | AMOUNT EXPENDED BY GRANTEE | ANY DIVERSION | DATES OF REPORTS | DATE OF VERIFICATION | RESULTS OF VERIFICATION |
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| 15 | Centre for Health Research and Education Limited | 2, Venture Road, University of Southampton Science Park, Chilworth, Hampshire SO16 7NP United Kingdom | 08/24/2022 | 1,196,504 | Build and strengthen tobacco harm reduction and cessation knowledge and capacity in India | 604,553 | NO | 04/28/2023 | 04/28/2023 | The total spending of \$604,553 as per report which is 51% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 16 | Centre for Substance Use Research | 4 Woodside Terrace Glasgow G3 7UY United Kingdom | 07/28/2022 | 251,167 | Develop a protocol for an intervention trial to assess the long-term health effects of switching from combustibles to tobacco harm reduction products among Type 2 diabetic smokers in Bangladesh | 251,167 | NO | 01/30/2023 04/29/2023 | 02/15/2023 04/29/2023 | The total spending of \$251,167 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 17 | Centre of Research Excellence on Indigenous Sovereignty & Smoking Limited | 109 Carlisle Road Torbay, Auckland 0632 New Zealand | 07/30/2019 | 3,490,481 | Establish and strengthen a center for research on smoking cessation and harm reduction among Indigenous people and marginalized communities | 3,047,418 | NO | 12/28/2021 03/30/2022 06/30/2022 09/30/2022 12/05/2022 03/30/2023 | 01/05/2022 04/01/2022 05/25/2022 10/02/2022 12/06/2022 03/31/2023 | The total spending of \$3,047,418 as per report which is 87% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

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| 18 | Cyntax Health Projects PVT LTD | Office no 306-307, Floor Pakistan Town Islamabad 44000 Pakistan | 07/28/2022 | 247,648 | Develop protocols for a randomized, double-blind, two-arm parallel, clinical trial to compare the effectiveness of different tobacco harm reduction products in general adult population in low- and middle-income countries | 247,648 | NO | 11/24/2022 03/27/2023 | 11/30/2022 04/06/2023 | The total spending of \$247,648 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 19 | ECLAT SRL | Via S. Sofia 89 Catania, Sicily 95123 Italy | 02/12/2019 | 12,549,987 | Establish and strengthen a center for research on the acceleration of tobacco harm reduction | 10,571,887 | NO | 02/08/2022 05/03/2022 07/22/2022 10/28/2022 02/03/2023 | 02/28/2022 06/07/2022 07/28/2022 11/01/2022 02/23/2023 | The total spending of \$10,571,887 as per report which is 84% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 20 | Economic Policy Research Foundation of Turkey, The (TEPAV) | Söğütözü, Söğütözü Cd. No:43 Ankara 06510 Turkey | 12/11/2020 | 454,893 | Research and disseminate findings relating to the policies and economics around ending smoking in Turkey | 413,354 | NO | 01/14/2022 04/22/2022 07/26/2022 10/12/2022 01/24/2023 | 01/15/2022 04/24/2022 07/28/2022 10/20/2022 01/25/2023 | The total spending of \$413,354 as per report which is 91% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

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|----|-----------------------------------|---|------------|--------------|---|----------------------------|---------------|--|--|---|
| 21 | Embera Neuro Therapeutics | 29 Babe Ruth Drive Sudbury, MA 01778 United States | 08/28/2019 | 208,420 | Manufacture material for Tobacco Use Disorder Study | 208,420 | NO | 04/30/2021 | 05/06/2021 | The total spending of \$208,420 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 22 | Euromonitor International Limited | 60-61 Britton Street London EC1M 5UX United Kingdom | 02/12/2019 | 6,884,299 | Design, research and provide analysis and reporting for the Tobacco Transformation Index Cycles 1 and 2 | 6,884,299 | NO | 03/03/2022 06/30/2022 09/27/2022 | 04/05/2022 07/27/2022 10/28/2022 | The total spending of \$6,884,299 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 23 | Euromonitor International Limited | 60-61 Britton Street London EC1M 5UX United Kingdom | 02/04/2021 | 519,132 | Conduct a tobacco marketing study in support of the Tobacco Transformation Index to establish a framework for tobacco companies' marketing practices to support transition away from high-risk products while preventing uptake of reduced-risk product alternatives by non-smokers and youth | 519,132 | NO | 02/14/2022 | 05/27/2022 | The total spending of \$519,132 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

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| 24 | Euromonitor International Limited | 60-61 Britton Street London EC1M 5UX United Kingdom | 07/28/2022 | 245,000 | Design, research and provide analysis and reporting for the Tobacco Transformation Index Cycles 3 and 4 | 245,000 | NO | 12/01/2022 | 12/08/2022 | The total spending of \$245,000 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 25 | Faculty of Medical Sciences University of Kragujevac Serbia | 69 Svetozara Markovica Street Kragujevac 34000 Serbia | 07/28/2022 | 120,140 | Research the effects of electronic nicotine delivery systems on phenotype and function of immune cells of patients with chronic inflammatory diseases | 29,478 | NO | 02/07/2023 | 02/13/2023 | The total spending of \$29,478 as per report which is 25% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 26 | Foundation for Professional Development | PO Box 75324 Pretoria 0040 South Africa | 03/09/2021 | 152,447 | Research to determine the effects of tobacco use and smoking on long-term recovery from mild COVID-19 in South Africa. Disseminate results, including by publishing a manuscript(s) in a peer-reviewed scientific journal(s) | 152,447 | NO | 03/29/2022 06/28/2022 11/22/2022 | 04/04/2022 07/15/2022 12/12/2022 | The total spending of \$152,447 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

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| 27 | Healthy Initiatives | 36 Shota Rustaveli, Apt 14, Kiev 01033 Ukraine | 12/11/2020 | 663,610 | Conduct research to develop empirical economic evidence on specific steps required to end use of combustible cigarettes in Eastern Europe | 598,435 | NO | 02/11/2022 05/10/2022 08/12/2022 10/28/2022 01/31/2023 | 02/14/2022 05/21/2022 08/15/2022 11/01/2022 02/02/2023 | The total spending of \$598,435 as per report which is 90% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 28 | Innovative Development Solutions | Office No. 12 Y block 12 Islamabad 44000 Pakistan | 07/28/2022 | 214,335 | Develop tools to study the needs of marginalized smokers and other tobacco users | 92,820 | NO | 11/30/2022 | 12/01/2022 | The total spending of \$92,820 as per report which is 43% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 29 | International Network of Nicotine Consumer Organisations (INNCO) | Jens Grons Vej 11, 1 7100 Vejle Syddanmark Denmark | 01/15/2020 | 110,766 | Provide support to INNCO to assist the organization in its work to garner consensus and support for COP9 to consider harm reduction as integral to tobacco control | 110,740 | NO | 04/05/2022 | 04/08/2022 | The total spending of \$110,740 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

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| 30 | International Network of Nicotine Consumer Organisations (INNCO) | Jens Grons Vej 11, 1 7100 Vejle Syddanmark Denmark | 05/07/2020 | 860,475 | Provide general support to INNCO to assist the organization in its work as an advocate for tobacco harm reduction | 860,475 | NO | 03/02/2022 04/20/2022 08/30/2022 | 03/28/2022 04/22/2022 09/13/2022 | The total spending of \$860,475 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 31 | International Network of Nicotine Consumer Organisations (INNCO) | Jens Grons Vej 11, 1 7100 Vejle Syddanmark Denmark | 05/04/2022 | 568,431 | Provide support to INNCO to assist the organization in its work to consolidate and disseminate information to inform the public about tobacco harm reduction | 350,577 | NO | 11/01/2022 04/20/2023 | 11/18/2022 04/20/2023 | The total spending of \$350,577 as per report which is 62% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 32 | Just Managing Consulting | PO Box 3421, Stellenbosch 7602 South Africa | 12/10/2020 | 117,000 | Conduct research to contribute to the development of a theory of change model for state-owned tobacco companies. | 117,000 | NO | 04/01/2022 | 04/04/2022 | The total spending of \$117,000 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

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|----|---------------------------|--|------------|--------------|--|----------------------------|---------------|--|--|---|
| 33 | Knowledge Action Change | 8 Northumberland Avenue London WC2N 5BY United Kingdom | 02/12/2019 | 2,519,802 | Increase research capacity to understand, develop, and implement the science and evidence base relevant to tobacco harm reduction | 2,519,802 | NO | 03/31/2022 07/05/2022 09/29/2022 12/05/2022 03/27/2023 | 04/04/2022 07/06/2022 10/03/2022 12/06/2022 03/29/2023 | The total spending of \$2,519,802 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 34 | Knowledge Action Change | 8 Northumberland Avenue London WC2N 5BY United Kingdom | 02/12/2019 | 3,088,512 | Develop and disseminate Global State of Tobacco Harm Reduction report to increase awareness about national tobacco harm reduction and cessation | 2,820,776 | NO | 03/31/2022 07/05/2022 09/29/2022 12/05/2022 03/27/2023 | 04/04/2022 07/06/2022 10/03/2022 12/06/2022 03/29/2023 | The total spending of \$2,820,776 as per report which is 91% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 35 | Lumos Diagnostics Pty Ltd | 2724 Loker Ave West Carlsbad, CA 92010 United States | 02/12/2019 | 2,021,018 | Develop a rapid point-of-care assay panel that can differentiate among the primary sources of nicotine to enable stronger correlations of nicotine sources with biomarkers of harm | 2,021,018 | NO | 02/15/2022 | 02/15/2022 | The total spending of \$2,021,018 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

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| 36 | M Group, Inc. | 13116 Lou Alice Way McLean, VA 20171 United States | 01/15/2021 | 149,221 | Conduct an analysis of tobacco excise policy and opportunities for risk-proportionate reform in Indonesia | 149,220 | NO | 05/16/2022 | 05/21/2022 | The total spending of \$149,220 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 37 | M Group, Inc. | 13116 Lou Alice Way McLean, VA 20171 United States | 01/31/2022 | 225,536 | Conduct nonpartisan analysis and research and disseminate to the public regarding risk proportionate taxation of tobacco products in Malaysia. Specifically, how tobacco excise taxes impact illicit trade and public health | 194,256 | NO | 05/21/2022 09/12/2022 12/07/2022 03/14/2023 | 06/08/2022 09/13/2022 12/09/2022 04/03/2023 | The total spending of \$194,256 as per report which is 86% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 38 | Movimiento Pro Vecino | Milwaukee 42 Mexico City, Del. Benito Juárez CP 03810 México | 07/22/2021 | 90,000 | Conduct and disseminate research regarding cessation and tobacco harm reduction policies in Latin America and Mexico | 90,000 | NO | 12/30/2021 03/26/2022 06/18/2022 07/30/2022 | 01/05/2022 04/04/2022 07/15/2022 08/01/2022 | The total spending of \$90,000 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

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|----|------------------------|---|------------|--------------|--|----------------------------|---------------|--------------------------|--------------------------|--|
| 39 | Movimiento Pro Vecino | Milwaukee 42 Mexico City, Del. Benito Juárez CP 03810 México | 08/24/2022 | 141,668 | Conduct and disseminate research regarding cessation and tobacco harm reduction policies in Latin America and Mexico | 43,053 | NO | 12/03/2022 | 12/06/2022 | The total spending of \$43,053 as per report which is 30% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 40 | OX1ST LTD | 7 Bell Yard London WC2A 2JR United Kingdom | 05/04/2022 | 388,794 | Researching intellectual property and technological innovation to promote smoking cessation and tobacco harm reduction in low- and middle-income countries | 271,982 | NO | 12/08/2022 02/28/2023 | 12/09/2022 03/09/2023 | The total spending of \$271,982 as per report which is 70% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 41 | Palladium Group Global | 1331 Pennsylvania Ave NW Washington, DC 20004 1751 United States | 06/03/2019 | 2,052,512 | Develop and manage investment program with the aim of assisting smallholder farmers transition from tobacco crop and provide them with alternate livelihoods | 1,575,474 | NO | 11/01/2021 | 11/16/2021 | The total spending of \$1,575,474 as per report which is 77% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

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| 42 | Palladium International LLC | 1331 Pennsylvania Ave NW Washington, DC 20004 1751 United States | 05/10/2020 | 199,999 | Support agricultural productivity and diversification through better management of natural resources to prepare Malawi for a time when there is less demand for tobacco | 199,999 | NO | 04/19/2022 | 05/20/2022 | The total spending of \$199,999 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 43 | QBAL SMC PVT LTD | Office no 19, First floor, Executive Complex, Plot no 14, G-8 Markaz, Islamabad 44000 Pakistan | 01/31/2022 | 265,815 | Research tax and health policies in Pakistan, focusing on health benefits, and optimal tax policies for non-combustible nicotine products to help smokers quit and stem the sales of illicit harm reduction products | 226,099 | NO | 06/08/2022 08/30/2022 11/25/2022 02/28/2023 | 06/16/2022 08/31/2022 11/30/2022 03/06/2023 | The total spending of \$226,099 as per report which is 85% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 44 | Rose Research Center, LLC | 7240 ACC Blvd Raleigh, NC 27617 United States | 02/12/2019 | 10,685,826 | Operationalization of a Translational Research Center for the evaluation of innovative smoking cessation and harm reduction strategies to help smokers quit or switch to reduced-risk products | 10,685,826 | NO | 12/30/2022 06/02/2022 06/30/2022 09/30/2022 01/17/2023 03/30/2023 | 01/15/2022 06/07/2022 07/15/2022 10/03/2022 01/19/2023 03/31/2023 | The total spending of \$10,685,826 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

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| 45 | Rose Research Center, LLC | 7240 ACC Blvd Raleigh, NC 27617 United States | 12/10/2020 | 1,639,985 | Examine the acceptability and outcome of a harm reduction approach to tobacco use for individuals with serious behavioral health disorders and determine the association with decrease in smoking | 1,499,117 | NO | 01/21/2022 04/29/2022 07/27/2022 11/02/2022 03/27/2023 | 01/28/2022 05/21/2022 07/28/2022 11/03/2022 03/30/2023 | The total spending of \$1,499,117 as per report which is 91% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 46 | Rose Research Center, LLC | 7240 ACC Blvd Raleigh, NC 27617 United States | 08/24/2022 | 2,030,889 | Establish and strengthen a center for integrated research projects to develop innovative approaches to support smoking cessation and switching to less harmful tobacco products | 448,572 | NO | 02/14/2023 | 02/23/2023 | The total spending of \$448,572 as per report which is 22% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 47 | Rotary Club of Lilongwe | District 9210 of Rotary International Platinum Hotel, City Center Lilongwe Malawi | 09/27/2021 | 62,622 | Sponsor the travel of Malawian graduate students to study at Tel Aviv University's Nitsan Lab so they may gain skills and education around smallholder innovation and return to Malawi and contribute to agricultural diversification | 62,622 | NO | 10/12/2022 12/09/2022 02/16/2023 | 10/14/2022 12/22/2022 02/23/2023 | The total spending of \$62,622 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 48 | South Asian Forum for Environment | 176A Vivekananda Park, Kolkata, West Bengal 700099 India | 07/28/2022 | 207,000 | Study the behavioral dynamics of tobacco smokers in India, Bangladesh and Nepal | 103,796 | NO | 12/05/2022 | 12/06/2022 | The total spending of \$103,796 as per report which is 50% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

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| 49 | Sermo Inc | 200 Park Avenue St. #1310 New York, NY 10003 United States | 05/07/2021 | 2,273,053 | Conduct global survey of physicians to develop actionable insights to drive smoking cessation and harm reduction success worldwide | 2,273,053 | NO | 03/17/2022 06/30/2022 09/30/2022 12/02/2022 | 04/04/2022 07/15/2022 10/03/2022 12/05/2022 | The total spending of \$2,273,053 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 50 | Strategic Health Research Limited | Mad About Tax Limited, Seaford Railway Station Seaford BN25 2AR United Kingdom | 07/28/2022 | 248,372 | Develop a study protocol for comparing the efficacy of tobacco harm reduction products to nicotine replacement therapy as tools for smokers in Pakistan to quit combustible tobacco products | 138,726 | NO | 12/07/2022 03/31/2023 | 12/08/2022 04/03/2023 | The total spending of \$138,726 as per report which is 56% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 51 | Synergeia Foundation, Inc | Units E-F, 131 V. Luna Ext. corner Maginhawa St., Sikatuna Village, Quezon City 1101 Philippines | 12/11/2020 | 85,000 | Assess results of the tobacco cessation program of government in Philippines relative to its objectives. Conduct an exploratory study of the market and policy environment for non-tobacco products | 85,000 | NO | 02/25/2022 | 02/28/2022 | The total spending of \$85,000 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 52 | Thanthwe Holdings Limited | Chitipi 6/1/53 Lilongwe Malawi | 07/30/2019 | 250,600 | Contribute to the expansion of protected cultivation in Malawi and neighboring countries. Goal is to offer over 1,750 Malawi households access to greenhouse technology at affordable prices and to catalyze alternative livelihood jobs in greenhouse production and agriculture | 250,736 | NO | 03/09/2022 07/12/2022 | 03/10/2022 08/03/2022 | The total spending of \$250,736 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

| | GRANTEE'S NAME | GRANTEE'S ADDRESS | GRANT DATE | GRANT AMOUNT | PURPOSE | AMOUNT EXPENDED BY GRANTEE | ANY DIVERSION | DATES OF REPORTS | DATE OF VERIFICATION | RESULTS OF VERIFICATION |
|----|-------------------------------|--|------------|--------------|---|----------------------------|---------------|--------------------------|--------------------------|--|
| 53 | Yayasan Pembangunan Indonesia | Jl. Kramat VI no.5 Kenari - Senen, Central Jakarta Jakarta 10430 Indonesia | 05/07/2020 | 68,000 | Scoping study to analyze the economic cost and health burden associated with tobacco morbidity and mortality with the goal of ending the Indonesian smoking epidemic | 68,000 | NO | 01/27/2021 03/30/2023 | 02/01/2021 03/30/2023 | The total spending of \$68,000 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |
| 54 | Yayasan Pembangunan Indonesia | Jl. Kramat VI no.5 Kenari - Senen, Central Jakarta Jakarta 10430 Indonesia | 01/31/2022 | 198,147 | Prepare and disseminate reports analyzing economics, economic policies, and health costs of tobacco products (cigarettes, e-cigarettes, and heated tobacco products) in Indonesia | 162,808 | NO | 03/15/2023 | 03/19/2023 | The total spending of \$162,808 as per report which is 82% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes. |

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
PAMELA PARIZEK

ADDRESS:
420 LEXINGTON AVE., SUITE 300
NEW YORK, NY 10170

TITLE:
CHAIR / DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 14.10

COMPENSATION 100,000.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS NONE

EXPENSE ACCOUNT AND OTHER ALLOWANCES NONE

OFFICER NAME:
VANDANA ABRAMSON

ADDRESS:
420 LEXINGTON AVE., SUITE 300
NEW YORK, NY 10170

TITLE:
DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 2.30

COMPENSATION 50,000.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS NONE

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

EXPENSE ACCOUNT AND OTHER ALLOWANCES NONE

OFFICER NAME:
DYBORN CHIBONGA

ADDRESS:
420 LEXINGTON AVE., SUITE 300
NEW YORK, NY 10170

TITLE:
DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 13.50

COMPENSATION 75,000.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS NONE

EXPENSE ACCOUNT AND OTHER ALLOWANCES NONE

OFFICER NAME:
PAUL GARDNER

ADDRESS:
420 LEXINGTON AVE., SUITE 300
NEW YORK, NY 10170

TITLE:
DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5.50

COMPENSATION 75,000.

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS NONE

EXPENSE ACCOUNT AND OTHER ALLOWANCES NONE

OFFICER NAME:
HEIDI GOLDSTEIN

ADDRESS:
420 LEXINGTON AVE., SUITE 300
NEW YORK, NY 10170

TITLE:
EXEC. VP/CLO/INTERIM CO-PRES.

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION 472,125.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS 41,684.

EXPENSE ACCOUNT AND OTHER ALLOWANCES NONE

COMPENSATION EXPLANATION:

THE INDIVIDUAL IDENTIFIED ABOVE WAS NOT COMPENSATED FOR HER ROLE AS SECRETARY. THE INDIVIDUAL INCURRED AN AVERAGE OF 40 HOURS A WEEK IN HER ROLE AS EXECUTIVE VP/CHIEF LEGAL OFFICER AND INTERIM CO-PRESIDENT IN SUPPORT OF THE FOUNDATION'S EXEMPT PURPOSES AND COMPENSATION REPORTED RELATES SOLELY TO HER POSITION AS EXECUTIVE VP/CHIEF LEGAL OFFICER AND INTERIM CO-PRESIDENT.

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:

ANGELA MARSHALL HOFMANN

ADDRESS:

420 LEXINGTON AVE., SUITE 300

NEW YORK, NY 10170

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 6.50

COMPENSATION 75,000.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS NONE

EXPENSE ACCOUNT AND OTHER ALLOWANCES NONE

OFFICER NAME:

DAVID JANAZZO

ADDRESS:

420 LEXINGTON AVE., SUITE 300

NEW YORK, NY 10170

TITLE:

CFO/INTERIM CO-PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION 439,883.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS 29,207.

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

EXPENSE ACCOUNT AND OTHER ALLOWANCES NONE

COMPENSATION EXPLANATION:

THE INDIVIDUAL IDENTIFIED ABOVE WAS NOT COMPENSATED FOR HIS ROLE AS TREASURER. THE INDIVIDUAL INCURRED AN AVERAGE OF 40 HOURS A WEEK IN HIS ROLE AS CFO AND INTERIM CO-PRESIDENT IN SUPPORT OF THE FOUNDATION'S EXEMPT PURPOSES, AND COMPENSATION REPORTED RELATES SOLELY TO HIS POSITION AS CFO AND INTERIM CO-PRESIDENT.

OFFICER NAME:
CORINNA LATHAN

ADDRESS:
420 LEXINGTON AVE., SUITE 300

NEW YORK, NY 10170

TITLE:
DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 7.50

COMPENSATION 75,000.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS NONE

EXPENSE ACCOUNT AND OTHER ALLOWANCES NONE

TOTAL COMPENSATION: 1,362,008.
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TOTAL CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS: 70,891.
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EXPENSE ACCOUNT AND OTHER ALLOWANCES: NONE
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990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES
=====

EMPLOYEE NAME:

EHSAN LATIF

ADDRESS:

420 LEXINGTON AVE., SUITE 300
NEW YORK, NY 10170

TITLE:

SVP, GRANTS MGMT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION 277,331.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS 17,890.

EXPENSE ACCOUNT AND OTHER ALLOWANCES NONE

EMPLOYEE NAME:

NICOLE BRADLEY

ADDRESS:

420 LEXINGTON AVE., SUITE 300
NEW YORK, NY 10170

TITLE:

VP, COMMUNICATIONS

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION 260,493.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS 19,096.

EXPENSE ACCOUNT AND OTHER ALLOWANCES NONE

990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

EMPLOYEE NAME:
SCOTT KLEINBERG

ADDRESS:
420 LEXINGTON AVE., SUITE 300
NEW YORK, NY 10170

TITLE:
DIRECTOR, AGRICULT.

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION 203,489.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS 8,599.

EXPENSE ACCOUNT AND OTHER ALLOWANCES NONE

EMPLOYEE NAME:
AYDA YUREKLI

ADDRESS:
420 LEXINGTON AVE., SUITE 300
NEW YORK, NY 10170

TITLE:
DIRECTOR, ECONOMIST

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION 192,100.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS 8,126.

EXPENSE ACCOUNT AND OTHER ALLOWANCES NONE

990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

EMPLOYEE NAME:
JAMES JEFFERS

ADDRESS:
420 LEXINGTON AVE., SUITE 300
NEW YORK, NY 10170

TITLE:
DIR., TALENT MGMT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION 149,708.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS 27,597.

EXPENSE ACCOUNT AND OTHER ALLOWANCES NONE

TOTAL COMPENSATION: 1,083,121.
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TOTAL CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS: 81,308.
=====

EXPENSE ACCOUNT AND OTHER ALLOWANCES: NONE
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990PF, PART VII-COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

NAME:

SIGNALS ANALYTICS

ADDRESS:

1325 6TH AVE. 25TH FLOOR
NEW YORK, NY 10019

TYPE OF SERVICE:

DATA PLATFORM DEV.

COMPENSATION 1,214,201.

NAME:

ACTUM CA OPCO LLC

ADDRESS:

9000 SUNSET BLVD., FL 3
WEST HOLLYWOOD, CA 90069

TYPE OF SERVICE:

COMMUNICATIONS

COMPENSATION 428,585.

NAME:

FENG INSIGHT

ADDRESS:

7 LEWES CRESCENT
BRIGHTON, , UK BN2 1FH

TYPE OF SERVICE:

CONSULTING SERVICES

COMPENSATION 366,016.

990PF, PART VII-COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

NAME:

ERNST & YOUNG US LLP

ADDRESS:

P.O. BOX 640382
PITTSBURGH, PA 15264-0382

TYPE OF SERVICE:

AUDIT AND TAX SVCS

COMPENSATION 180,640.

NAME:

RAMP UP TECHNOLOGY

ADDRESS:

108 W 39TH STREET, 15TH FLOOR
NEW YORK, NY 10018

TYPE OF SERVICE:

IT & WEBSITE SUPPORT

COMPENSATION 139,720.

TOTAL COMPENSATION: 2,329,162.
=====

FORM 990PF, PART XIV - NAME, ADDRESS, PHONE AND E-MAIL FOR APPLICATIONS
=====

APPLICATION SHOULD BE ADDRESSED TO:
URL:SMOKEFREEWORLD.ORG
NEW YORK, NY 10170
SUPPORT@SMOKEFREEWORLD.ORG

990PF, PART XIV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS
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SEE SPECIFIC RFP INFORMATION POSTED ON THE FOUNDATION'S WEBSITE

990PF, PART XIV - SUBMISSION DEADLINES
=====

SEE SPECIFIC RFP INFORMATION POSTED ON THE FOUNDATION'S WEBSITE

990PF, PART XIV - RESTRICTIONS OR LIMITATIONS ON AWARDS

=====

THE FOUNDATION ISSUES REQUESTS FOR PROPOSALS (RFPS) TO ENLIST SUPPORT TO ACCELERATE THE GLOBAL DECLINE IN SMOKING. THE FOUNDATION RECOGNIZES THAT COUNTRIES AT DIFFERENT LEVELS OF ECONOMIC DEVELOPMENT WILL NEED DIFFERENT SOLUTIONS, AND THAT ADVANCES IN BEHAVIORAL SCIENCE AND TECHNOLOGY CAN PLAY A KEY ROLE IN HARM REDUCTION RELATIVE TO SMOKING. THE FOUNDATION PROVIDES GRANTS TO SUPPORT WORK THAT IS BEING CONDUCTED BY INDEPENDENT RESEARCH ORGANIZATIONS, ACADEMIC INSTITUTIONS AND CENTERS, AND ORGANIZATIONS IN THE PRIVATE SECTOR.

SEE SPECIFIC INFORMATION ABOUT RFPS AND HOW THE FOUNDATION FUNDS GRANTS ON THE FOUNDATION'S WEBSITE:

[HTTPS://WWW.SMOKEFREEWORLD.ORG/HOW-WE-FUND-GRANTS/](https://www.smokefreeworld.org/how-we-fund-grants/)

2022 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838
 ATTACHMENT 27

| | RECIPIENT NAME | RECIPIENT ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|--|--|---|--------------------------------|--|---------|
| 1 | Alternative Research Initiative | Office # 31, 1st Floor, Al-Anayat Mall, Islamabad, Pakistan | N/A | NC | Support capacity of PANTHR (Pakistan Alliance for Nicotine and Tobacco Harm Reduction) to increase access to tools that support cessation and tobacco harm reduction in Pakistan, including engaging with health professionals to reduce impediments to access | 259,981 |
| 2 | Analytisch-Biologisches Forschungslabor GmbH | Semmelweisstrasse 5, Planegg, 82152, Germany | N/A | NC | Identify biomarkers and/or patterns of biomarkers that properly distinguish among nicotine product use groups for the development of a prototype diagnostic tool. Tool would be used in interventions to help smokers quit or switch to a reduced-risk product | 146,078 |
| 3 | Analytisch-Biologisches Forschungslabor GmbH | Semmelweisstrasse 5, Planegg, 82152, Germany | N/A | NC | Conduct a literature review of nicotine's role in human health | 176,212 |
| 4 | Analytisch-Biologisches Forschungslabor GmbH | Semmelweisstrasse 5, Planegg, 82152, Germany | N/A | NC | Research the efficacy of tobacco harm reduction products as tools for smokers to quit combustible tobacco products | 233,154 |
| 5 | ASCRA Consulting Inc. | Purok 6, Sitio Basud Brgy. Binatagan, Ligao City, Albay, Philippines | N/A | NC | Conduct and disseminate research regarding cessation and tobacco harm reduction policies in the Philippines | 64,169 |
| 6 | ASCRA Consulting Inc. | Purok 6, Sitio Basud Brgy. Binatagan, Ligao City, Albay, Philippines | N/A | NC | Increase awareness about tobacco harm reduction and address misinformation about nicotine in the Philippines and Asia Pacific | 138,690 |

2022 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838
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|----|--|--|---|--------------------------------------|--|-----------|
| 7 | Blue T-Matrix, LLC | 29 Fifer Ln, Lexington, MA, 02420, USA | N/A | NC | Engage in a three- part project focusing on China including: (i) a critical review of the importance of offering help to smokers to quit or switch; (ii) a study estimating the health and economic benefits of reduced harm products, and the factors affecting initiation, cessation, and switching rates; and (iii) the creation of a reduced-harm products tracking system | 239,400 |
| 8 | BOTEC Analysis, LLC | 25350 Magic Mountain Parkway, Suite 300, Santa Clarita, CA, 91355, United States | N/A | NC | Investigate the drivers for smoking cessation and tobacco harm reduction in five countries with alternative nicotine delivery systems markets | 36,000 |
| 9 | BOTEC Analysis, LLC | 25350 Magic Mountain Parkway, Suite 300, Santa Clarita, CA, 91355, United States | N/A | NC | Develop journal articles, econometric studies, and a full-length book regarding the drivers of smoking cessation in five countries with legalized alternative nicotine delivery systems | 901,023 |
| 10 | Cambridge Design Partnership Limited | Bourn Quarter, Wellington Way, Caldecote, Cambridge, CB23 7FW, United Kingdom | N/A | NC | Disseminate findings of a prior FSFW grant project related to the key impediments to mass adoption of reduced-risk products in low- and middle-income countries | 24,748 |
| 11 | Centre for Health Research and Education Limited | 2, Venture Road, University of Southampton Science Park, Chilworth, Hampshire, SO16 7NP, United Kingdom | N/A | NC | Support capacity to reduce tobacco-related death and disease in India | 2,037,044 |

2022 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

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|----|---|---|---|--------------------------------|--|-----------|
| 12 | Centre for Health Research and Education Limited | 2, Venture Road, University of Southampton Science Park, Chilworth, Hampshire, SO16 7NP, United Kingdom | N/A | NC | Expand on work completed under a current FSFW grant to achieve and sustain 'smoke-free mental health' at UK's Priory Group mental health hospitals | 350,471 |
| 13 | Centre for Health Research and Education Limited | 2, Venture Road, University of Southampton Science Park, Chilworth, Hampshire, SO16 7NP, United Kingdom | N/A | NC | Build and strengthen tobacco harm reduction and cessation knowledge and capacity in India | 1,196,504 |
| 14 | Centre for Substance Use Research | 4 Woodside Terrace, Glasgow, G3 7UY, United Kingdom | N/A | NC | Develop a protocol for an intervention trial to assess the long-term health effects of switching from combustibles to tobacco harm reduction products among Type 2 diabetic smokers in Bangladesh | 154,564 |
| 15 | Centre of Research Excellence on Indigenous Sovereignty & Smoking Limited | 109 Carlisle Road, Torbay, Auckland, 0632, New Zealand | N/A | NC | Establish and strengthen a center for research on smoking cessation and harm reduction among Indigenous people and marginalized communities | 1,240,458 |
| 16 | Cornell University | Cornell University, 373 Pine Tree Road, Ithaca, NY, 14850, United States | N/A | PC | Develop empirical evidence to help provide education around public policies to end smoking in this generation | 1,019,164 |
| 17 | Cyntax Health Projects PVT LTD | Office no 306-307, Floor, 4 Zamzama Centre Town Phase 2 Rd, Pakistan Town, Islamabad, 44000, Pakistan | N/A | NC | Develop protocols for a randomized, double-blind, two-arm parallel, clinical trial to compare the effectiveness of different tobacco harm reduction products in general adult population in low- and middle-income countries | 247,648 |

2022 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838
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| | RECIPIENT NAME | RECIPIENT ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|----|---|---|---|--------------------------------------|---|-----------|
| 18 | ECLAT SRL | Via S. Sofia 89, Torre Biologica, Catania, Sicily, 95123, Italy | N/A | NC | Establish and strengthen a center for research on the acceleration of tobacco harm reduction | 2,822,437 |
| 19 | Economic Policy Research Foundation of Turkey (TEPAV) | Söğütözü, Söğütözü Cd. No:43, Ankara, 06510, Turkey | N/A | NC | Research and disseminate findings relating to the policies and economics around ending smoking in Turkey | 201,612 |
| 20 | Euromonitor International Limited | 60-61 Britton Street, London, EC1M 5UX, United Kingdom | N/A | NC | Design, research and provide analysis and reporting for the Tobacco Transformation Index Cycles 1 and 2 | 1,452,186 |
| 21 | Euromonitor International Limited | 60-61 Britton Street, London, EC1M 5UX, United Kingdom | N/A | NC | Conduct a tobacco marketing study in support of the Tobacco Transformation Index to establish a framework for tobacco companies' marketing practices to support transition away from high-risk products while preventing uptake of reduced-risk product alternatives by non-smokers and youth | 155,740 |
| 22 | Euromonitor International Limited | 60-61 Britton Street, London, EC1M 5UX, United Kingdom | N/A | NC | Design, research and provide analysis and reporting for the Tobacco Transformation Index Cycles 3 and 4 | 245,000 |
| 23 | Faculty of Medical Sciences University of Kragujevac Serbia | 69 Svetozara Markovica Street, Kragujevac, 34000, Serbia | N/A | NC | Research the effects of electronic nicotine delivery systems on phenotype and function of immune cells of patients with chronic inflammatory diseases | 60,070 |

2022 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

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| | RECIPIENT NAME | RECIPIENT ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|----|--|---|---|--------------------------------|--|-----------|
| 24 | Foundation for Professional Development | PO Box 75324, Lynnwood Ridge, Pretoria, 0040, South Africa | N/A | NC | Research to determine the effects of tobacco use and smoking on long-term recovery from mild COVID-19 in South Africa. Disseminate results, including by publishing a manuscript(s) in a peer-reviewed scientific journal(s) | 82,097 |
| 25 | Healthy Initiatives | 36 Shota Rustaveli, Apt 14, Kiev, 01033, Ukraine | N/A | NC | Conduct research to develop empirical economic evidence on specific steps required to end use of combustible cigarettes in Eastern Europe | 302,584 |
| 26 | Influence Foundation, Inc. | 447 Broadway, 2nd Floor, New York, NY, 10013, United States | N/A | PC | Expand the capacity of Filter Magazine to disseminate informative and evidence-based information about tobacco harm reduction and related issues | 71,877 |
| 27 | Influence Foundation, Inc. | 447 Broadway, 2nd Floor, New York, NY, 10013, United States | N/A | PC | Support the capacity of Filter Magazine to communicate about tobacco harm reduction | 237,640 |
| 28 | Innovative Development Solutions | Office No. 12 Y block 12, Business Bay Commercial Sector F DHA1, Islamabad, 44000, Pakistan | N/A | NC | Develop tools to study the needs of marginalized smokers and other tobacco users | 214,335 |
| 29 | Institute of International Education, Inc. | One World Trade Center, 36th Floor, New York, NY, 10007, United States | N/A | PC | Sponsor scholarship opportunities for IIE to provide for Malawi students to promote FSEW's purpose to research, explore, and promote sustainable agricultural alternatives for tobacco farmers and tobacco land | 1,265,740 |

2022 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.
FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838
ATTACHMENT 27

| | RECIPIENT NAME | RECIPIENT ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|----|--|--|---|--------------------------------|---|-----------|
| 30 | International Network of Nicotine Consumer Organisations (INNCO) | Jens Grøns Vej 11, 1, Syddanmark, Denmark | N/A | NC | Provide general support to INNCO to assist the organization in its work as an advocate for tobacco harm reduction | 200,777 |
| 31 | International Network of Nicotine Consumer Organisations (INNCO) | Jens Grøns Vej 11, 1, Syddanmark, Denmark | N/A | NC | Provide support to INNCO to assist the organization in its work to consolidate and disseminate information to inform the public about tobacco harm reduction. This grant was terminated on March 7th, 2023. | 568,431 |
| 32 | Just Managing Consulting | PO Box 3421, Matieland, Stellenbosch, 7602, South Africa | N/A | NC | Conduct research to contribute to the development of a theory of change model for state-owned tobacco companies. | 19,700 |
| 33 | Knowledge Action Change Limited | 8 Northumberland Avenue, London, WC2N 5BY, United Kingdom | N/A | NC | Increase research capacity to understand, develop, and implement the science and evidence base relevant to tobacco harm reduction | 567,594 |
| 34 | Knowledge Action Change Limited | 8 Northumberland Avenue, London, WC2N 5BY, United Kingdom | N/A | NC | Develop and disseminate Global State of Tobacco Harm Reduction report to increase awareness about national tobacco harm reduction and cessation | 934,010 |
| 35 | Land O'Lakes Venture37 | 1725 DeSales Street, NW, Suite 800, Washington, DC, 20036, United States | N/A | PC | Establish the Centre of Agricultural Transformation in Malawi to prepare Malawi for a time when there is less demand for leaf tobacco | 2,550,000 |

2022 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838
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| | RECIPIENT NAME | RECIPIENT ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|----|---------------------------------|---|---|--------------------------------------|--|-----------|
| 36 | Lumos Diagnostics Pty Ltd | 2724 Loker Ave West, Carlsbad, CA, 92010, United States | N/A | NC | Develop a rapid point-of-care assay panel that can differentiate among the primary sources of nicotine to enable stronger correlations of nicotine sources with biomarkers of harm | 52,363 |
| 37 | Lung Foundation | MediCity - D6 Building, 1 Thane Road, Nottingham, NG90 6BH, United Kingdom | N/A | NC | Investigate the nexus of COVID-19 and nicotine use | 18,218 |
| 38 | M Group, Inc. | 13116 Lou Alice Way, McLean, VA, 20171, United States | N/A | NC | Conduct an analysis of tobacco excise policy and opportunities for risk-proportionate reform in Indonesia | 74,611 |
| 39 | M Group, Inc. | 13116 Lou Alice Way, McLean, VA, 20171, United States | N/A | NC | Conduct nonpartisan analysis and research and disseminate to the public regarding risk proportionate taxation of tobacco products in Malaysia. Specifically, how tobacco excise taxes impact illicit trade and public health | 169,153 |
| 40 | Michigan State University (MSU) | 427 North Shaw Lane Rm 100, East Lansing, MI, 48824, United States | N/A | GOV | Provide general support for the acceleration of agricultural transformation to prepare Malawi for a time when there is less demand for leaf tobacco | 1,000,000 |
| 41 | Michigan State University (MSU) | 427 North Shaw Lane Rm 100, East Lansing, MI, 48824, United States | N/A | GOV | Support FSFW grantee's work at the MwAPATA Institute, an independent think tank, which focuses on research in areas such as economics policy, to promote agricultural transformation in Malawi | 675,000 |

2022 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.
FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838
ATTACHMENT 27

| | RECIPIENT NAME | RECIPIENT ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|----|-------------------------------|--|---|--------------------------------|--|---------|
| 42 | Movimiento Pro Vecino | Milwaukee 42, Col. Ampliación Nápoles, Mexico City, Del. Benito Juárez, CP 03810, México | N/A | NC | Conduct and disseminate research regarding cessation and tobacco harm reduction policies in Latin America and Mexico | 60,000 |
| 43 | Movimiento Pro Vecino | Milwaukee 42, Col. Ampliación Nápoles, Mexico City, Del. Benito Juárez, CP 03810, México | N/A | NC | Conduct and disseminate research regarding cessation and tobacco harm reduction policies in Latin America and Mexico | 141,668 |
| 44 | OX1ST LTD | 7 Bell Yard, London, WC2A 2JR, United Kingdom | N/A | NC | Researching intellectual property and technological innovation to promote smoking cessation and tobacco harm reduction in low- and middle-income countries | 284,526 |
| 45 | Palladium International LLC | 1331 Pennsylvania Ave NW, Suite 600, Washington, DC, 20004-1751, United States | N/A | NC | Support agricultural productivity and diversification through better management of natural resources to prepare Malawi for a time when there is less demand for tobacco | 19,999 |
| 46 | Physicians Research Institute | 1211 Cathedral Street, Baltimore, MD, 21201, United States | N/A | PC | Develop a web-based presentation on tobacco harm reduction for distribution to state medical societies and member physicians | 39,650 |
| 47 | QBAL SMC PVT LTD | Office no 19, First floor, Executive Complex, Plot no 14, G-8 Markaz, Islamabad, 44000, Pakistan | N/A | NC | Research tax and health policies in Pakistan, focusing on health benefits, and optimal tax policies for non-combustible nicotine products to help smokers quit and stem the sales of illicit harm reduction products | 221,513 |

2022 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838
 ATTACHMENT 27

| | RECIPIENT NAME | RECIPIENT ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|----|---------------------------|---|---|--------------------------------|---|-----------|
| 48 | Rose Research Center, LLC | 7240 ACC Blvd, Raleigh, NC, 27617, United States | N/A | NC | Operationalization of a Translational Research Center for the evaluation of innovative smoking cessation and harm reduction strategies to help smokers quit or switch to reduced-risk products | 1,012,298 |
| 49 | Rose Research Center, LLC | 7240 ACC Blvd, Raleigh, NC, 27617, United States | N/A | NC | Examine the acceptability and outcome of a harm reduction approach to tobacco use for individuals with serious behavioral health disorders and determine the association with decrease in smoking | 694,691 |
| 50 | Rose Research Center, LLC | 7240 ACC Blvd, Raleigh, NC, 27617, United States | N/A | NC | Establish and strengthen a center for integrated research projects to develop innovative approaches to support smoking cessation and switching to less harmful tobacco products | 1,723,177 |
| 51 | Rotary Club of Lilongwe | District 9210 of Rotary International, Lilongwe, Malawi | N/A | NC | Sponsor the travel of Malawian graduate students to study at Tel Aviv University's Nitsan Lab so they may gain skills and education around smallholder innovation and return to Malawi and contribute to agricultural diversification | 45,172 |
| 52 | Sermo Inc | 200 Park Avenue St. #1310, New York, NY, 10003, United States | N/A | NC | Conduct global survey of physicians to develop actionable insights to drive smoking cessation and harm reduction success worldwide | 258,679 |

2022 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838
 ATTACHMENT 27

| | RECIPIENT NAME | RECIPIENT ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|----|-----------------------------------|---|---|--------------------------------|---|---------|
| 53 | South Asian Forum for Environment | 176A Vivekananda Park, Ajaynagar, Kolkata, West Bengal, 700099, India | N/A | NC | Study the behavioral dynamics of tobacco smokers in India, Bangladesh and Nepal | 207,000 |
| 54 | Strategic Health Research Limited | Mad About Tax Limited, Seaford Railway Station, Station Approach, Seaford, BN25 2AR, United Kingdom | N/A | NC | Develop a study protocol for comparing the efficacy of tobacco harm reduction products to nicotine replacement therapy as tools for smokers in Pakistan to quit combustible tobacco products | 165,581 |
| 55 | Synergeia Foundation, Inc | Units E-F, 131 V. Luna Ext. corner Maginhawa St., Sikatuna Village, Quezon City, 1101, Philippines | N/A | NC | Assess results of the tobacco cessation program of government in Philippines relative to its objectives. Conduct an exploratory study of the market and policy environment for non-tobacco products | 42,500 |
| 56 | Tel Aviv University | 55 Chaim Levanon Street, Tel Aviv, 69978701, Israel | N/A | PC | Sponsor scholarships for Malawian students to attend a one-year program at the Nitsan Lab to gain skills and education around smallholder innovation at Tel Aviv University so these students may return to Malawi and contribute to agricultural diversification | 67,416 |

2022 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838
 ATTACHMENT 27

| | RECIPIENT NAME | RECIPIENT ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|----|-------------------------------|--|---|--------------------------------|---|---------|
| 57 | Thanthwe Holdings Limited | Chitipi 6/1/53, PO Box 1215, Lilongwe, Malawi | N/A | NC | Contribute to the expansion of protected cultivation in Malawi and neighboring countries. Goal is to offer over 1,750 Malawi households access to greenhouse technology at affordable prices and to catalyze alternative livelihood jobs in greenhouse production and agriculture | 10,000 |
| 58 | Yale University | Laboratory of Epidemiology and Public Health, 60 College Street, New Haven, CT, 06511, United States | N/A | PC | Explore the relationship between COVID-19 and discourses around tobacco products, including e-cigarettes, acknowledging that information about tobacco use (and harm reduction products) during the pandemic comes from varying sources | 60,933 |
| 59 | Yale University | Laboratory of Epidemiology and Public Health, 60 College Street, New Haven, CT, 06511, United States | N/A | PC | Conduct research on misinformation on social media about tobacco harm reduction and COVID-19, and interventions to correct misinformation about tobacco harm reduction | 42,792 |
| 60 | Yayasan Pembangunan Indonesia | Jl. Kramat VI no.5, Kenari - Senen, Jakarta, 10430, Indonesia | N/A | NC | Prepare and disseminate reports analyzing economics, economic policies, and health costs of tobacco products (cigarettes, e-cigarettes, and heated tobacco products) in Indonesia | 165,122 |

TOTAL CONTRIBUTIONS PAID

27,669,201

| | RECIPIENT NAME | RECIPIENT ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|--|--|---|--------------------------------------|--|---------|
| 1 | Alternative Research Initiative | Office # 31, 1st Floor, Al-Anayat Mall , Islamabad, Pakistan | N/A | NC | Support capacity of PANTHR (Pakistan Alliance for Nicotine and Tobacco Harm Reduction) to increase access to tools that support cessation and tobacco harm reduction in Pakistan, including engaging with health professionals to reduce impediments to access | 133,929 |
| 2 | Analytisch-Biologisches Forschungslabor GmbH | Semmelweisstrasse 5, Planegg, 82152, Germany | N/A | NC | Conduct a literature review of nicotine's role in human health | 19,579 |
| 3 | Analytisch-Biologisches Forschungslabor GmbH | Semmelweisstrasse 5, Planegg, 82152, Germany | N/A | NC | Research the efficacy of tobacco harm reduction products as tools for smokers to quit combustible tobacco products | 155,437 |
| 4 | ASCRA Consulting Inc. | Purok 6, Sitio Basud Brgy. Binatagan, Ligao City, Albay, Philippines | N/A | NC | Increase awareness about tobacco harm reduction and address misinformation about nicotine in the Philippines and Asia Pacific | 138,690 |
| 5 | Bangladesh Blind Mission (BBM) | House 1, Road 6, Block A, Section 11, Mirpur, Dhaka, Dhaka, 1217, Bangladesh | N/A | NC | Increase awareness about tobacco harm reduction and address misinformation about nicotine in Bangladesh and the surrounding region | 194,699 |
| 6 | Blue T-Matrix, LLC | 29 Fifer Ln, Lexington, MA, 02420, USA | N/A | NC | Engage in a three- part project focusing on China including: (i) a critical review of the importance of offering help to smokers to quit or switch; (ii) a study estimating the health and economic benefits of reduced harm products, and the factors affecting initiation, cessation, and switching rates; and (iii) the creation of a reduced-harm products tracking system | 159,600 |

2022 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.
 FORM 990-PF, PART XIV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

82-2800838
 ATTACHMENT 28

| | RECIPIENT NAME | RECIPIENT ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|----|---|---|---|--------------------------------|---|-----------|
| 7 | Centre for Health Research and Education Limited | 2, Venture Road, University of Southampton Science Park, Chilworth, Hampshire, SO16 7NP, United Kingdom | N/A | NC | Build and strengthen tobacco harm reduction and cessation knowledge and capacity in India | 2,392,956 |
| 8 | Centre for Substance Use Research | 4 Woodside Terrace, Glasgow, G3 7UY, United Kingdom | N/A | NC | Develop a protocol for an intervention trial to assess the long-term health effects of switching from combustibles to tobacco harm reduction products among Type 2 diabetic smokers in Bangladesh | 231,847 |
| 9 | Cornell University | Cornell University, 373 Pine Tree Road, Ithaca, NY, 14850, United States | N/A | PC | Take an economic approach to study consumer decisions about smoking, use of tobacco harm reduction products, and quitting. To be conducted using discrete choice experiments, with the goal of contributing to the evidence base for well-considered regulation of tobacco harm reduction products in the United States | 5,862,270 |
| 10 | Cyntax Health Projects PVT LTD | Office no 306-307, Floor, 4 Zamzama Centre Town Phase 2 Rd, Pakistan Town Islamabad, 44000, Pakistan | N/A | NC | Develop protocols for a randomized, double-blind, two-arm parallel, clinical trial to compare the effectiveness of different tobacco harm reduction products in general adult population in low- and middle-income countries | 27,517 |
| 11 | Euromonitor International Limited | 60-61 Britton Street, London, EC1M 5UX, United Kingdom | N/A | NC | Design, research and provide analysis and reporting for the Tobacco Transformation Index Cycles 3 and 4 | 8,735,000 |
| 12 | Faculty of Medical Sciences University of Kragujevac Serbia | 69 Svetozara Markovica Street 34000, Kragujevac, 34000, Serbia | N/A | NC | Research the effects of electronic nicotine delivery systems on phenotype and function of immune cells of patients with chronic inflammatory diseases | 140,165 |

2022 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.
 FORM 990-PF, PART XIV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

82-2800838
 ATTACHMENT 28

| | RECIPIENT NAME | RECIPIENT ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|----|--|---|---|--------------------------------|--|-----------|
| 13 | Healthy Initiatives | 36 Shota Rustaveli, Apt 14, Kiev, 01033, Ukraine | N/A | NC | Research the economics of ending the smoking epidemic in the Eurasia region by focusing on policy-relevant economic and public health issues relating to combustible cigarettes and harm-reduction products. | 699,179 |
| 14 | Influence Foundation, Inc. | 447 Broadway, 2nd Floor, New York, NY, 10013, United States | N/A | PC | Support the capacity of Filter Magazine to communicate about tobacco harm reduction | 356,462 |
| 15 | Innovative Development Solutions | Office No. 12 Y block 12, Business Bay Commercial Sector F DHA1, Islamabad, 44000, Pakistan | N/A | NC | Develop tools to study the needs of marginalized smokers and other tobacco users | 23,815 |
| 16 | International Network of Nicotine Consumer Organisations (INNCO) | Jens Grøns Vej 11, 1, Syddanmark, Denmark | N/A | NC | Provide support to INNCO to assist the organization in its work to consolidate and disseminate information to inform the public about tobacco harm reduction. This grant was terminated on March 7th, 2023 | 1,326,339 |
| 17 | Knowledge Action Change Limited | 8 Northumberland Avenue, London, WC2N 5BY, United Kingdom | N/A | NC | Provide scholarships to researchers in the field of tobacco harm reduction in low- and middle-income countries. Create a Global State of Tobacco Harm Reduction report to disseminate information aimed at ending the use of combustible tobacco | 5,500,000 |
| 18 | M Group, Inc. | 13116 Lou Alice Way, McLean, VA, 20171, United States | N/A | NC | Conduct nonpartisan analysis and research and disseminate to the public regarding risk proportionate taxation of tobacco products in Malaysia. Specifically, how tobacco excise taxes impact illicit trade and public health | 112,766 |

| | RECIPIENT NAME | RECIPIENT ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|----|-----------------------------------|---|---|--------------------------------|--|-----------|
| 19 | Movimiento Pro Vecino | Milwaukee 42, Col. Ampliación Nápoles, Mexico City, Del. Benito Juárez, CP 03810, México | N/A | NC | Conduct and disseminate research regarding cessation and tobacco harm reduction policies in Latin America and Mexico | 108,332 |
| 20 | OX1ST LTD | 7 Bell Yard, London, WC2A 2JR, United Kingdom | N/A | NC | Researching intellectual property and technological innovation to promote smoking cessation and tobacco harm reduction in low- and middle-income countries | 264,481 |
| 21 | Physicians Research Institute | 1211 Cathedral Street, Baltimore, MD, 21201, United States | N/A | PC | Develop a web-based presentation on tobacco harm reduction for distribution to state medical societies and member physicians | 39,650 |
| 22 | QBAL SMC PVT LTD | Office no 19, First floor, Executive Complex, Plot no 14, G-8 Markaz Islamabad, G-11 Markaz, Islamabad, 44000, Pakistan | N/A | NC | Research tax and health policies in Pakistan, focusing on health benefits, and optimal tax policies for non-combustible nicotine products to help smokers quit and stem the sales of illicit harm reduction products | 73,837 |
| 23 | Rose Research Center, LLC | 7240 ACC Blvd, Raleigh, NC, 27617, United States | N/A | NC | Establish and strengthen a center for integrated research projects to develop innovative approaches to support smoking cessation and switching to less harmful tobacco products | 2,584,766 |
| 24 | South Asian Forum for Environment | 176A Vivekananda Park, Ajaynagar, Kolkata, West Bengal, 700099, India | N/A | NC | Study the behavioral dynamics of tobacco smokers in India, Bangladesh and Nepal | 23,000 |

| | RECIPIENT NAME | RECIPIENT ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|----|--------------------------------------|--|---|--------------------------------------|---|---------|
| 25 | Strategic Health Research Limited | Mad About Tax Limited, Seaford Railway Station, Station Approach, Seaford, BN25 2AR, United Kingdom | N/A | NC | Develop a study protocol for comparing the efficacy of tobacco harm reduction products to nicotine replacement therapy as tools for smokers in Pakistan to quit combustible tobacco products | 110,387 |
| 26 | Yayasan Pembangunan Indonesia | Jl. Kramat VI no.5, Kenari - Senen, Jakarta, 10430, Indonesia | N/A | NC | Prepare and disseminate reports analyzing economics, economic policies, and health costs of tobacco products (cigarettes, e- cigarettes, and heated tobacco products) in Indonesia | 55,041 |

TOTAL CONTRIBUTIONS APPROVED

29,469,744

FORM 990-PF, PART XV-A - ANALYSIS OF OTHER REVENUE
=====

| DESCRIPTION ----- | BUSINESS CODE ---- | AMOUNT ----- | EXCLUSION CODE ---- | AMOUNT ----- | RELATED OR EXEMPT FUNCTION INCOME ----- |
|------------------------------------|--------------------------|-----------------|---------------------------|-----------------|---|
| RETURN OF PRIOR YEAR DISTRIBUTIONS | | | 01 | 582,270. | |
| RETURN OF PRIOR YEAR TAXES | | | 01 | 18,877. | |
| | | ----- | | ----- | ----- |
| TOTALS | | ===== | | ===== | ===== |

FORM 990PF, PART I, LINE 19 - DEPRECIATION

ATTACHMENT 30

| ASSET DESCRIPTION | PURCHASE AMOUNT | DEPRECIATION EXPENSE | ACCUMULATED DEPRECIATION | 2022 ENDING BOOK VALUE |
|----------------------|--------------------|-------------------------|-----------------------------|---------------------------|
| EQUIP. & FURNITURE | 436,709 | 55,517 | 328,819 | 107,889 |
| SOFTWARE PLATFORM | 2,430,609 | 704,795 | 2,274,571 | 156,039 |
| TOTAL | 2,867,318 | 760,312 | 2,603,390 | 263,928 |

990PF, PART IX - SUMMARY OF DIRECT CHARITABLE ACTIVITIES

ATTACHMENT 31

THE FOUNDATION FOR A SMOKE-FREE WORLD ("FOUNDATION") WAS CREATED IN 2017 FOR THE PURPOSE OF IMPROVING GLOBAL HEALTH BY ENDING SMOKING IN THIS GENERATION.

DURING 2022, ITS FIFTH FULL YEAR OF OPERATION, THE FOUNDATION BUILT ON ITS ACHIEVEMENTS AND CONTINUED PROGRESS TOWARD REACHING AND SUSTAINING THIS GOAL.

ARTICLE THIRD OF THE FOUNDATION'S CERTIFICATE OF INCORPORATION PROVIDES THAT FOUNDATION SHALL, IN FURTHERANCE OF ITS CHARITABLE PURPOSE, USE ASSETS RECEIVED AS CHARITABLE GIFTS, OR INCOME THEREFROM, TO WORK IN SEVEN BROAD CATEGORIES (REFERRED TO AS "SMOKE-FREE PURPOSES") RELATING TO CREATING A SMOKE-FREE WORLD. TO DATE, THE FOUNDATION HAS ORGANIZED ITS ACTIVITIES AROUND THE SEVEN SMOKE-FREE PURPOSES: HEALTH AND SCIENCE RESEARCH, WHICH ADDRESSES SMOKE-FREE PURPOSES (I), (II), (III), AND (VII); AGRICULTURAL DIVERSIFICATION, WHICH ADDRESSES SMOKE-FREE PURPOSE (V); INDUSTRY TRANSFORMATION, WHICH ADDRESSES SMOKE-FREE PURPOSE (IV). SMOKE-FREE PURPOSE (VI), RELATED TO ENVIRONMENTAL ISSUES, WILL BE ADDRESSED STARTING IN 2023. AS THE FOUNDATION WORKS TOWARD ACHIEVING ITS MISSION, IT IS GUIDED BY THESE FUNDAMENTAL VALUES: COLLABORATION; DIVERSITY, EQUITY, AND INCLUSION; EXCELLENCE; INNOVATION; INTEGRITY; TRANSPARENCY; AND URGENCY.

THE FOUNDATION FUNDS SCIENTIFIC RESEARCH AND ENGAGES IN DIRECT CHARITABLE ACTIVITIES THAT ARE NON-DUPLICATIVE AND NOVEL, FOCUSING ON EXISTING GAPS, IN FURTHERANCE OF THE PURPOSES FOR WHICH IT WAS FORMED. ITS EFFORTS GENERALLY FALL INTO THREE MAIN SUBJECT AREAS:

- **HEALTH AND SCIENCE RESEARCH:** THROUGH ITS GRANTMAKING AND RELATED ACTIVITIES, THE FOUNDATION SEEKS TO REDUCE BARRIERS TO QUITTING AND/OR SWITCHING FROM COMBUSTIBLES AND OTHER TOXIC TOBACCO PRODUCTS AND STRENGTHEN UNDERSTANDING, KNOWLEDGE, AND RESEARCH CAPACITY IN THE AREA OF TOBACCO HARM REDUCTION (THR) AND ITS ROLE TO END SMOKING. THE FOUNDATION FOCUSES ON BUILDING CAPACITY FOR RESEARCH IN LOW- AND MIDDLE-INCOME COUNTRIES, WHERE MOST SMOKERS LIVE AND WHERE FUNDING FOR TOBACCO CONTROL RESEARCH IS LACKING. ACCOMPLISHMENTS IN 2022 INCLUDED: REPLICATION OF STUDIES ON EFFECTS OF CIGARETTE SMOKE AND ELECTRONIC NICOTINE DELIVERY SYSTEMS AEROSOL IN AN INDEPENDENT MULTI-CENTER STUDY, EVALUATION OF STRATEGY TO ASSIST SMOKERS TO SWITCH FROM

COMBUSTIBLE CIGARETTES TO ELECTRONIC NICOTINE DELIVERY SYSTEMS, IMPLEMENTATION OF A GLOBAL SURVEY OF PHYSICIANS TO GAIN INSIGHTS ON DRIVING SMOKING CESSATION AND TOBACCO HARM REDUCTION WORLDWIDE, CONTINUATION OF THE GLOBAL STATE OF TOBACCO HARM REDUCTION REPORT SERIES, ADVANCEMENT OF THE TOBACCO HARM REDUCTION SCHOLARSHIP PROGRAM TO INCREASE RESEARCH CAPACITY IN LOW- AND MIDDLE-INCOME COUNTRIES, EXPANSION OF GLOBAL AND REGIONAL PARTNER NETWORKS, ANALYSIS OF COMMON FLAWS IN RESEARCH, AND MORE.

• **AGRICULTURAL DIVERSIFICATION:** THROUGH ITS GRANTMAKING AND RELATED ACTIVITIES, THE FOUNDATION SUPPORTS THE DEVELOPMENT OF A DIVERSIFIED AGRICULTURAL ECOSYSTEM IN MALAWI TO LEAD SMALLHOLDER FARMERS TOWARD ALTERNATIVE LIVELIHOODS, IN PREPARING FOR A FUTURE OF REDUCED TOBACCO DEMAND. TO ACHIEVE ITS MISSION, THE FOUNDATION IS PARTNERING WITH THE PRIVATE SECTOR, DEVELOPMENT PARTNERS, SMALLHOLDER FARMER ORGANIZATIONS, GOVERNMENT, LEADING NATIONAL AND INTERNATIONAL AGRICULTURAL RESEARCH GROUPS, AND FINANCIAL STAKEHOLDERS. ACCOMPLISHMENTS IN 2022 INCLUDED: DEVELOPMENT OF INNOVATIVE PROGRAMS RELATED TO BUSINESS INCUBATION AND COMMERCIALIZATION, ESTABLISHMENT OF LOCAL DEMONSTRATION FIELDS AND SMART FARM MODELS, AND DATA LAB THROUGH THE CENTRE FOR AGRICULTURAL TRANSFORMATION, ADVANCEMENT OF AN INDEPENDENT POLICY THINK TANK IN MALAWI TO CONDUCT RESEARCH AND CONVENE STAKEHOLDERS REGARDING POLICIES RELEVANT TO AGRICULTURAL DIVERSIFICATION, AND A SCHOLARSHIP PROGRAM FOR MALAWIAN STUDENTS IN AGRICULTURE-RELATED FIELDS AT LEADING INSTITUTIONS IN THE UNITED STATES, SOUTH AFRICA, AND ISRAEL.

• **INDUSTRY TRANSFORMATION:** THE FOUNDATION'S INDUSTRY TRANSFORMATION EFFORTS DRIVE THE TRANSFORMATION OF TOBACCO COMPANIES FOR THE BENEFIT OF PUBLIC HEALTH. THE TOBACCO TRANSFORMATION INDEX PROVIDES OBJECTIVE DATA ON WHETHER COMPANIES ARE TRANSFORMING THEIR CORE BUSINESS MODELS TO ACCELERATE THE REDUCTION OF HARM CAUSED BY TOBACCO USE. THE INDEX RANKS THE WORLD'S 15 LARGEST TOBACCO COMPANIES ON THEIR RELATIVE PROGRESS TOWARD HARM REDUCTION. ACCOMPLISHMENTS IN 2022 INCLUDED: RELEASE OF THE SECOND TOBACCO TRANSFORMATION INDEX, PUBLICATION OF RESEARCH COVERING COMPANY-COUNTRY ANALYSIS AND MARKETING STUDY, CONTINUATION OF STAKEHOLDER DIALOGUES, AND PRELIMINARY PLANNING AND PREPARATION FOR PRODUCTION OF THE THIRD TOBACCO TRANSFORMATION INDEX IN 2024.

THE FOUNDATION HAS COMMITTED TO MORE THAN \$173 MILLION IN CONDITIONAL GRANTS THROUGH 2028 AND WILL CONTINUE TO ADD TO

THOSE COMMITMENTS OVER TIME. DURING 2022, THE FOUNDATION DISTRIBUTED GRANT PAYMENTS TOTALING \$27.1 MILLION IN SUPPORT OF ITS MISSION AND PURPOSES. FOR ADDITIONAL INFORMATION ABOUT THE FOUNDATION'S CHARITABLE ACCOMPLISHMENTS IN 2022, PLEASE REVIEW ITS ANNUAL REPORT, WHICH CAN BE FOUND AT [HTTPS://WWW.SMOKEFREEWORLD.ORG/ANNUAL-REPORTS](https://www.smokefreeworld.org/annual-reports).

GRANTS AND OTHER EXPENSES CAN BE ATTRIBUTED TO EACH OF THE THREE MAIN AREAS OF THE FOUNDATION'S CHARITABLE WORK AS FOLLOWS:

- **HEALTH AND SCIENCE RESEARCH: (EXPENSES OF \$20,078,103, INCLUDING GRANT EXPENDITURES OF \$16,492,481) .**
- **AGRICULTURAL DIVERSIFICATION: (EXPENSES OF \$6,779,101, INCLUDING GRANT EXPENDITURES OF \$5,633,327)**
- **INDUSTRY TRANSFORMATION: (EXPENSES OF \$3,058,137, INCLUDING GRANT EXPENDITURES OF \$2,137,452)**

IN CONCERT WITH ITS GRANTMAKING AND RELATED WORK IN THE THREE BROAD CATEGORIES NOTED ABOVE, IN 2022 THE FOUNDATION ALSO MADE GRANTS AND ENGAGED IN DIRECT CHARITABLE ACTIVITIES IN THE AREA OF EDUCATION AND AWARENESS IN FURTHERANCE OF ITS CHARITABLE MISSION TO END SMOKING. THESE GRANTS PRINCIPALLY WERE FOCUSED ON THE ECONOMIC FACTORS AND POLICIES THAT REFLECT THE RELATIVE RISK OF TOBACCO AND NICOTINE PRODUCTS. ACCOMPLISHMENTS IN 2022 INCLUDED: CONTINUATION OF ECONOMIC STUDY OF RISK PERCEPTIONS AND CONSUMER DEMAND FOR TOBACCO HARM REDUCTION PRODUCTS IN SELECTED COUNTRIES; AND DELIVERY OF THE ECONOMICS OF ENDING SMOKING WEBINARS, WHICH RAISED AWARENESS FOR STAKEHOLDERS THAT PARTICIPATED GLOBALLY. **(EXPENSES OF \$3,431,947, INCLUDING GRANT EXPENDITURES OF \$2,823,670) .**

CHARITABLE ACTIVITIES IN THE AREAS OF WORK LISTED ABOVE TOTALED \$33.3 MILLION IN 2022.

ONE OF THE GAPS IN TOBACCO CONTROL THAT THE FOUNDATION ADDRESSES IS IMPROVING PUBLIC AWARENESS OF THE DRIVERS OF SMOKING HARM AND THE AVAILABILITY OF ALTERNATIVES. THE FOUNDATION'S GOAL IN LEVERAGING COMMUNICATIONS SUPPORT IS TO EDUCATE THE PUBLIC ABOUT THE NEED FOR COLLABORATION, INNOVATION, AND TOOLS THAT CAN HELP SMOKERS QUIT OR REDUCE THEIR RISK.

IN 2022, THE FOUNDATION'S COMMUNICATIONS EFFORTS SUPPORTED THE DISSEMINATION OF THE RESEARCH RESULTS FROM ITS HEALTH AND SCIENCE RESEARCH GRANTS AND DIRECT CHARITABLE ACTIVITIES. THE FOUNDATION USED ITS DIGITAL CHANNELS TO DRIVE AWARENESS OF KEY

GLOBAL STATISTICS AND REPORTS ON SMOKING BEHAVIORS, HARM REDUCTION, AND SMOKING CESSATION. COMMUNICATIONS ON SOCIAL CHANNELS RAISED AWARENESS OF THE AGRICULTURAL DIVERSIFICATION WORK IN MALAWI AND THE ISSUES FACING SMALLHOLDER TOBACCO FARMERS. COMMUNICATIONS EFFORTS IN 2022 RELATED TO INDUSTRY TRANSFORMATION CENTERED AROUND THE RELEASE OF THE 2022 TOBACCO TRANSFORMATION INDEX AND NEW RESEARCH.

COMMUNICATIONS EXPENSES ARE ESTIMATED ACROSS THE FOUNDATION'S CHARITABLE WORK AS FOLLOWS: HEALTH AND SCIENCE RESEARCH: 70%; AGRICULTURAL DIVERSIFICATION, 5%; INDUSTRY TRANSFORMATION, 15%; GENERAL EDUCATION & AWARENESS, 10%. **(EXPENSES OF \$1,243,937)**

EXAMPLES OF THE FOUNDATION'S DIRECT CHARITABLE ACTIVITIES FOR 2022 INCLUDE:

NICOTINE ECOSYSTEM DATA PLATFORM. CUSTOMIZED, DATA DRIVEN INTELLIGENCE PLATFORM DEVELOPED FOR THE FOUNDATION TO IDENTIFY, INVESTIGATE, AND TRACK SIGNALS, TRENDS, ASSETS, AND OPPORTUNITIES IN THE AREAS OF NICOTINE, SMOKING CESSATION, AND HARM REDUCTION. **(EXPENSES: \$1,214,201)**

PUBLICATION AND DISSEMINATION OF RESEARCH, REPORTS, AND INFORMATIONAL MATERIAL. THE FOUNDATION EMPLOYED ORGANIZATIONS TO DESIGN AND DEVELOP ITS DISSEMINATION PLATFORMS, INCLUDING WEBSITES ASSOCIATED WITH THE FOUNDATION FOR A SMOKE-FREE WORLD AND TOBACCO TRANSFORMATION INDEX. VIDEO AND INFOGRAPHICS WITH KEY DATA WERE PRODUCED AND SHARED ON SOCIAL MEDIA CHANNELS. **(EXPENSES: \$216,664)**

CONDUCT RESEARCH AND PRODUCE REPORTS. THE FOUNDATION CONTRACTED WITH SEVERAL ORGANIZATIONS TO CONDUCT AND DOCUMENT RESEARCH IN SUPPORT OF THE FOUNDATION'S CHARITABLE PURPOSES, INCLUDING RELATIVE RISK ASSESSMENT, ECONOMICS OF ENDING SMOKING REPORTS, AND COUNTRY REPORTS. **(EXPENSES: \$86,205)**

GRANTEE MEETINGS. THE FOUNDATION HOSTED MEETINGS FOR ITS GRANTEES FOR THE PURPOSE OF INFORMATION SHARING AND COLLABORATION. THE MEETINGS WERE ORGANIZED AROUND HEALTH AND SCIENCE RESEARCH. **(EXPENSES: \$82,272)**